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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	09650-00009
First Inventor	Schliesmann et al.
Title	System and Method for Event Driven Programming
Express Mail Label No.	EL878945243US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: \$908 check; Certificate of Express Mail

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____ / _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

27160
(Insert Customer No. or Attach bar code label here)

 or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Martin T. LeFevour

Registration No. (Attorney/Agent)

37,378

Signature

Martin T. LeFevour

Date

12/28/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No.: 09650-00009

First Inventor: Schliesmann et al.

Title: System and Method for Event Driven Programming

"Express Mail" Mailing Label No.: EL878945243US

Date of Deposit: 28 December 2001

I hereby certify that the below-listed papers or fees are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

1. Utility Patent Application Transmittal (1 page)
2. Certificate of Mailing by "Express Mail" (1 page)
3. Fee Transmittal (in duplicate)(2 pages, total)
4. Specification (18 pages)
5. Informal Drawings (7 sheets)
6. \$908 check
7. Return-receipt postcard

KRISTIE A. BEVERIDGE
(Typed or printed name of person mailing)

Kristie A. Beveridge
(Signature of person mailing)

1004415343001

Patent fees are subject to annual revision.

Application Number	
Filing Date	12/28/2001
First Named Inventor	Schliesmann et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	09650-00009

TOTAL AMOUNT OF PAYMENT	(\$)	908.00
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1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

50-1214

Katten Muchin Zavis

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Leases	Leases are classified as either finance leases or operating leases. Finance leases are recorded as assets and liabilities, while operating leases are recorded as lease expense.	Leases are classified as either finance leases or operating leases. Finance leases are recorded as assets and liabilities, while operating leases are recorded as lease expense.
3. Intangible Assets	Intangible assets are recorded at cost and amortized over their useful life.	Intangible assets are recorded at cost and amortized over their useful life.
4. Goodwill	Goodwill is recorded as an intangible asset and is not amortized. It is tested for impairment annually or more frequently if circumstances indicate that impairment may exist.	Goodwill is recorded as an intangible asset and is not amortized. It is tested for impairment annually or more frequently if circumstances indicate that impairment may exist.
5. Derivatives	Derivatives are recorded at fair value and are subject to hedge accounting if they are used to hedge a recognized asset or liability.	Derivatives are recorded at fair value and are subject to hedge accounting if they are used to hedge a recognized asset or liability.
6. Financial Instruments	Financial instruments are recorded at fair value and are subject to impairment testing.	Financial instruments are recorded at fair value and are subject to impairment testing.
7. Income Taxes	Income taxes are recorded at the amount of taxes payable or refundable for the current period, plus or minus any deferred tax assets or liabilities.	Income taxes are recorded at the amount of taxes payable or refundable for the current period, plus or minus any deferred tax assets or liabilities.
8. Employee Compensation	Employee compensation is recorded at the amount of cash or other assets payable to employees for services rendered.	Employee compensation is recorded at the amount of cash or other assets payable to employees for services rendered.
9. Research and Development	Research and development costs are expensed as incurred.	Research and development costs are expensed as incurred.
10. Other	Other accounting standards and principles that apply to large entities.	Other accounting standards and principles that apply to small entities.

Fee Paid

101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

SUBTOTAL (1)	(\$) 740.00
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		Extra Claims		Fee from below	Fee Paid
Total Claims	20	-20** =	0	X	
Independent Claims	5	-3** =	2	X	84.00
Multiple Dependent					

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$) 168.00
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****or number previously paid, if greater; For Reissues, see above**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)	0.00
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Complete (if applicable)

(312) 902-5200

12/28/2001

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